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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1713

Serial No.: **09/926,085**

சும் நூல் Pre Application of: SUNAGAWA, Takenobu et al.

Examiner: Not Yet Assigned

Filed: August 27, 2001

P.T.O. Confirmation No.: 2186

FOR: PROCESSING AID FOR THERMOPLASTIC RESIN AND THERMOPLASTIC RESIN COMPOSITION CONTAINING THE SAME

PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents Washington, D.C. 20231

AUG 1 4 2002

August 12, 2002

Sir:

Technology Center 2100

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

Documents AF and AK were cited in a European Search Report dated June 6, 2002. A copy of the search report is also attached.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution

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of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; 2 references; Search Report